

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Richard A. Chapman

Group Art Unit: 2173 / Conf. No. 2422

Application No.: 10/751,616

Examiner: Ulrich, Nicholas S.

Filing Date: 01/05/2004

Docket No.: END920030134US1

Title: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
ASSISTED BROWSER NAVIGATION**

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPEAL BRIEF OF APPELLANT**

This Appeal Brief, pursuant to the Notice of Appeal filed December 1, 2008, is an appeal from the rejection of the Examiner in the Final Office Action dated August 1, 2008.

**REAL PARTY IN INTEREST**

International Business Machines, Inc. is the real party in interest.

**RELATED APPEALS AND INTERFERENCES**

None.

**STATUS OF CLAIMS**

Claims 47 and 48 are rejected. Claims 1-46 are cancelled. This Brief is in support of an appeal from the rejection of claims 47 and 48.

## STATUS OF AMENDMENTS

No amendments were submitted in response to the Final Office Action dated August 1, 2008.

### SUMMARY OF CLAIMED SUBJECT MATTER

#### A. CLAIM 47 - INDEPENDENT

The present invention provides a method of assisted browser navigation. See specification, page 3, lines 10-11.

A server (10, FIG. 1) creates a user context (12, FIG. 1) that reflects a context of a session between a user browser (20, FIG. 1) and the server. See specification, page 8, lines 4-5.

Said server creates a consultant context (14, FIG. 1) that reflects a context of a session between a consultant browser (30, FIG. 1) and the server. See specification, page 8, lines 5-7.

A first user (22, FIG. 1) of the user browser identifies, to a second user (32, FIG. 1) of the consultant browser by communication via telephone or email from the first user to the second user, information that the first user is unable to locate and desires to obtain. See specification, page 8, lines 8-12.

Responsive to the first user identifying the desired information to the second user, said second user navigates to the desired information using the consultant browser. Responsive to the second user navigating to the desired information, said consultant browser transmits to the server context information identifying an access to the desired information; said server receives the transmitted context information and stores the received context information in the consultant context. See specification, page 8, lines 12-15.

Said server receives from the consultant browser a request for an identifier (16, FIG. 1) pertaining to the context information; said server generates the identifier in response to the received request. See specification, page 8, lines 15-22.

Said identifier is not a Universal Resource Locator (URL). See specification, page 13, lines 27-30.

After the identifier is generated, said server generates an association relating to the identifier, said association associating the identifier with the context information by comprising a pointer to the context information. After the association is generated, said server stores the identifier and the association in a repository (17, FIG. 1) coupled to the server. See specification, page 8, lines 23-31.

After the association is generated, said server provides the identifier to the consultant browser, and then said consultant browser provides the identifier to the second user, and then said second user provides the identifier to the first user, and then said user browser receives the identifier from the first user. See specification, page 10, lines 1-4. The identifier is provided by the second user to the first user via telephone or email. See specification, page 13, line 32 - page 14, line 2.

After said user browser receives the identifier from the first user, said server receives the identifier from the user browser. Receiving the identifier from the user browser comprises retrieving the identifier from a data entry field of a web page after the user browser has entered the identifier into the data entry field. See specification, page 10, lines 4-5.

After said server receives the identifier from the user browser, said server identifies the stored identifier in the repository from the received identifier and uses the stored association relating to the identifier to identify the context information stored in the consultant context.

After said server uses the stored association, said server stores the identified context information in the user context, wherein the server is configured to transmit the context information in the user context to the user browser for enabling the user to access, via the user browser, the desired information. See specification, page 10, lines 5-14.

#### **B. CLAIM 48 - INDEPENDENT**

The present invention provides a method of assisted browser navigation. See specification, page 3, lines 10-11.

A server creates a user context that reflects a context of a session between a user browser and the server. See specification, page 8, lines 4-5.

Said server creating a consultant context that reflects a context of a session between a consultant browser and the server. See specification, page 8, lines 5-7.

Said server stores context information in the consultant context after the context information was received by the server from the consultant browser after a first user of the user browser identified, to a second user of the consultant browser via telephone or email from the first user to the second user, information that the first user desires to obtain and after the first user has requested that the second user assist the first user in obtaining access to the desired information and after the second user navigated to the desired information using the consultant browser. See specification, page 8, lines 8-15.

Said server receives from the consultant browser a request for an identifier pertaining to the context information. Said server generates the identifier in response to the received request. See specification, page 8, lines 15-22.

Said identifier is not a Universal Resource Locator (URL). See specification, page 13, lines 27-30.

After the identifier is generated, said server generates an association relating to the identifier, said association associating the identifier with the context information by comprising a pointer to the context information. After the association is generated, said server stores the identifier and the association in a repository coupled to the server. See specification, page 8, lines 23-31.

After the association is generated, said server provides the identifier to the consultant browser, and then said server receives the identifier from the user browser after the consultant browser provides the identifier to the second user and after the second user provides the identifier to the first user and after the first user provides the identifier to the user browser. See specification, page 10, lines 1-5. Receiving the identifier from the user browser comprises retrieving the identifier from a data entry field of a web page after the user browser has entered the identifier into the data entry field. The identifier is provided by the second user to the first user via telephone or email. See specification, page 13, line 32 - page 14, line 2.

After said server receives the identifier from the user browser, said server identifies the stored identifier in the repository from the received identifier and uses the stored association relating to the identifier to identify the context information stored in the consultant context. After said server uses the stored association, said server stores the identified context information in the user context, wherein the server is configured to transmit the context information in the user context to the user browser for enabling the user to access, via the user browser, the desired information. See specification, page 10, lines 5-14.

## **GROUND OF REJECTION TO BE REVIEWED ON APPEAL**

1. Claims 47 and 48 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Sheldon et al. (US 5954798) in view of Gavrilescu et al. (US 2002/0198941 A1).

## ARGUMENT

### GROUND OF REJECTION 1

Claims 47 and 48 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Sheldon et al. (US 5954798) in view of Gavrilescu et al. (US 2002/0198941 A1).

#### Claim 47

The Examiner's arguments in analyzing Sheldon with respect to claim 47 are based on the following assumptions:

the claimed first user 22 in Appellant's FIG. 1 is represented in Sheldon by the agent at terminal 104N in Sheldon, FIG. 2;

the claimed user browser 20 in Appellant's FIG. 1 is represented in Sheldon by the web browser 114N at terminal 114N in Sheldon, FIG. 2;

the claimed second user 32 in Appellant's FIG. 1 is represented in Sheldon by the consumer at terminal 104A in Sheldon, FIG. 2;

the claimed consultant browser 30 in Appellants' FIG. 1 is represented in Sheldon by the web browser 114A at terminal 104A in Sheldon, FIG. 2;

the claimed server 10 in Appellant's FIG. 1 is represented in Sheldon by the WTS server 144 in Sheldon, FIG. 2.

Appellant next analyzes claim 47 using the same assumptions *supra* that the Examiner has used.

Appellant respectfully contends that claim 47 is not unpatentable over Sheldon in view of Gavrilescu, because Sheldon in view of Gavrilescu does not teach or suggest each and every feature of claim 47.

As a first example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: “a server creating a user context that reflects a context of a session between a user browser and the server”.

The Examiner argues that Sheldon, col. 2, lines 25-30 discloses the preceding feature of claim 47.

In response, Appellant respectfully contends that Sheldon, col. 2, lines 25-30 discloses steps of “retrieving pages”, “performing activities to said pages received”, and “recording said activities”, none of which disclose “creating a user context” or creating anything at all. Moreover, claim 47 also requires performance of “said server storing the identified context information in the user context” which requires the user context to be capable of storing information.

Appellant asserts that the Examiner has not specifically identified (in Sheldon, col. 2, lines 25-30) the user context that is allegedly created by the server and is capable of storing information.

Furthermore, Sheldon, col. 2, lines 25-30 does not disclose that the server that allegedly creates the user context is the WTS server 144 which is assumed by the Examiner to represent in Sheldon the claimed server.



Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a second example of why claim 47 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “said server creating a consultant context that reflects a context of a session between a consultant browser and the server”.

The Examiner argues that Sheldon, col. 2, lines 25-30 discloses the preceding feature of claim 47.

In response, Appellant respectfully contends that Sheldon, col. 2, lines 25-30 discloses steps of “retrieving pages”, “performing activities to said pages received”, and “recording said activities”, none of which disclose “creating a consultant context” or creating anything at all. Moreover, claim 47 also requires performance of “said server ... storing the received context information in the consultant context” which requires the consultant context to be capable of storing information.

Appellant asserts that the Examiner has not specifically identified (in Sheldon, col. 2, lines 25-30) the consultant context that is allegedly created by the server and is capable of storing information.

Furthermore, Sheldon, col. 2, lines 25-30 does not disclose that the server that allegedly creates the consultant context is the WTS server 144 which is assumed by the Examiner to represent in Sheldon the claimed server.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a third example of why claim 47 is not unpatentable over Sheldon in view of Gavrilesu, Sheldon in view of Gavrilesu does not teach or suggest the feature: “a first user of the user browser identifying, to a second user of the consultant browser by communication via telephone or email from the first user to the second user, information that the first user is unable to locate and desires to obtain”.

The Examiner argues: “While Sheldon teaches synchronizing browsers, they fail to show the identifying to a second user via telephone or email information that the first user is unable to locate and desires to obtain as recited in the claims. Gavrilesu teaches a method similar to that of Sheldon. In addition, Gavrilesu further teaches using web browser synchronization to aid a customer service representative in leading a customer to locations on a web page (*Paragraph 0003: as one skilled in the art knows, customer service representatives are regularly contacted via telephone or email*).”

In response, Appellant notes that Gavrilesu, Par. [0003] discloses cobrowsing between a user and a customer representative. However, Gavrilesu, Par. [0003] does not anywhere disclose that the user identifies to the customer representative information that the user is unable to find, or that the customer representative identifies to the user information that the customer representative is unable to find.

Gavrilesu, Par. [0003] discloses a first example (“The representative may lead the cobrowsing session, showing the customer where certain products are described on the web site.”), which does not disclose that the user identifies to the customer representative information that the user is unable to find, or that the customer representative identifies to the user information that the customer representative is unable to find.

Gavrilescu, Par. [0003] discloses a second example (“the customer may lead the cobrowsing session, going to various pages on the web site, and asking the representative questions about the products displayed on those pages”), which does not disclose that the user identifies to the customer representative information that the user is unable to find, or that the customer representative identifies to the user information that the customer representative is unable to find.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a fourth example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: “responsive to the first user identifying the desired information to the second user, said second user navigating to the desired information using the consultant browser”.

The Examiner has not alleged that Sheldon in view of Gavrilescu discloses the preceding feature of claim 47.

In fact, the Examiner has not even addressed the preceding feature of claim 47.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a fifth example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: “responsive to the second user navigating to the desired information, said consultant browser transmitting to the server context information identifying an access to the desired information; said server receiving

the transmitted context information and storing the received context information in the consultant context”.

The Examiner argues: “Sheldon discloses ... responsive to the second user navigating to the desired information, said consultant browser transmitting to the server context information identifying an access to the desired information; said server receiving the transmitted context information and storing the received context information in the consultant context (*Column 5 lines 52-67, Column 7 lines 22-28, Column 7 lines 33-35, and Column 7 line 58- Column 8 line 23: a session is created for browser 114A. A session included tracking and recording of activities of the browser where the activities include loading, interacting, and unloading of web pages. This directly relates to context information*)”.

In response, Appellant notes that the Examiner views “context information” as web pages in Sheldon.

However, the Examiner’s citations (col. 5, lines 52-67; col. 7 lines 22-28; col. 7 lines 33-35; col. 7, line 58 - col. 8 line 23) do not disclose that the WTS server 144 receives “context information identifying an access to the desired information” from the consultant browser 114N and stores the received context information in the consultant context created by the WTS server 144, as required by the preceding feature of claim 47.

Furthermore, the Examiner’s citations do not disclose that the transmitting of the context information is “responsive to the second user navigating to the desired information”, as required by the preceding feature of claim 47.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a sixth example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: “said server receiving from the consultant browser a request for an identifier pertaining to the context information; said server generating the identifier in response to the received request, said identifier not being a Universal Resource Locator (URL)”.

The Examiner argues: “Sheldon discloses ... said server receiving from the consultant browser a request for an identifier pertaining to the context information; said server generating the identifier in response to the received request, said identifier not being a Universal Resource Locator (URL) (*Fig 2, Fig 3, and Column 6 line 62- Column 7 line 33: the request for the identifier from the second (consultant) browser is performed when the browser has been directed to the specific URL for web page 204 in server 52. The second browser is executing in terminal 104A*)”.

In response, Appellant notes that the Examiner views “request for an identifier” as directing the server 152 to the specific URL for web page 204. Therefore, the Examiner is arguing that the identifier is the URL for web page 204. The Examiner also views “context information” as web pages as explained *supra* in conjunction with the fifth example. Therefore, the Examiner is arguing that the limitation “said server receiving from the consultant browser a request for an identifier pertaining to the context information” is disclosed in Sheldon as the WTS server 152 receiving (from the web browser 114A) a request for an identifier pertaining to the web page 204, wherein the identifier is the URL for web page 204, which violates the claimed limitation of “said identifier not being a Universal Resource Locator (URL)”.

In addition, the Examiner's citation of server 152 (actually, the Examiner cited server "52" which appears to be a typo), is in conflict with the Examiner's assumption that the WTS server 144 represents the claimed server in claim 47.

Furthermore, Sheldon does not disclose "said server generating the identifier in response to the received request". In order words, Sheldon does not disclose that the WTS server 144 generates the URL of web page 204 in response to directing the server 152 to the specific URL for web page 204, wherein the URL is alleged by the Examiner to represent the received request.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a seventh example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: "after said generating the identifier, said server generating an association relating to the identifier, said association associating the identifier with the context information by comprising a pointer to the context information".

The Examiner argues that Sheldon, col. 7, lines 34-35 discloses the preceding feature of claim 47.

In response, Appellant respectfully contends that the preceding feature of claim 47, which requires that Sheldon disclose that after the URL for web page 204 is generated, the WTS server 144 generates an association relating to the URL for web page 204, said association associating the URL for web page 204 with the context information by comprising a pointer to the context information. However, Sheldon, col. 7, lines 33-35 recites: "In response to the command from Master Applet 126, WTS server 144 creates a session for browser 114A based on 10/751,616

the unique ID". Appellant asserts that Sheldon, col. 7, lines 33-38 discloses that the WTS server 144 creates a session for browser 114A based on the unique ID but does not generate an association that associates the URL for web page 204 with the context information (i.e., the web page 204).

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As an eighth example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: "after said generating the association, said server storing the identifier and the association in a repository coupled to the server and providing the identifier to the consultant browser".

The Examiner argues: "Sheldon discloses ... after said generating the association, said server storing the identifier and the association in a repository coupled to the server and providing the identifier to the consultant browser (*Column 6 lines 59-61: database stores information for created sessions*)".

In response, Appellant reiterates that the Examiner has argued that the claimed identifier is the URL for web page 204. However, Sheldon, col. 6, lines 59-61 does not disclose that the WTS server 144 stores in the database 156 the URL for web page 204 and the association. Sheldon, col. 6, lines 59-61 discloses only that the application 148 stores the data collected in session table 145 into database 156, and does not disclose that the data collected in session table 145 includes the URL for web page 204 and the association.

Moreover, claim 47 requires that the WTS server 144, and not the application 148, perform storing the URL for web page 204 and the association in the database 156.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a ninth example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: “after said server providing the identifier to the consultant browser, said consultant browser providing the identifier to the second user”.

The Examiner argues: “Sheldon discloses ... after said server providing the identifier to the consultant browser, said consultant browser providing the identifier to the second user (*Column 12 lines 19-23: the web page displays the current session ID*)”.

In response, Appellant has noted (in conjunction with the sixth example) that the Examiner alleges that the identifier in Sheldon is the URL for web page 204, which logically contradicts the Examiner’s current argument that the identifier in Sheldon is the current session ID.

Furthermore, the preceding feature of claim 47 requires that the consultant browser 114A provides the identifier to the second user. However, the consultant browser 114A is not even mentioned in Sheldon, col. 12, lines 19-23.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a tenth example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature:



“after said consultant browser providing the identifier to the second user, said second user providing the identifier to the first user via telephone or email from the second user to the first user; after said second user providing the identifier to the first user, said user browser receiving the identifier from the first user; after said user browser receiving the identifier from the first user, said server receiving the identifier from the user browser, wherein said receiving the identifier from the user browser comprises retrieving the identifier from a data entry field of a web page after the user browser has entered the identifier into the data entry field”.

The Examiner argues that Sheldon, col. 12, lines 28-39 discloses the preceding feature of claim 47.

In first response, Appellant notes that the identifier in Sheldon, col. 12, lines 28-39 is the current session ID, which contradicts the Examiner’s argument that the identifier is the URL for web page 204.

In second response, Appellant notes that the Examiner is assuming that the first user is the agent at terminal 104N and the second user is the consumer at terminal 104A. Therefore, the preceding feature of claim 46 requires the consumer to provide the identifier (inconsistently alleged by the Examiner to be the current session ID) to the agent via telephone or email. However, Sheldon, col. 12, lines 28-39 recites that “*instead of using the telephone*, the agent can be informed of the current session ID by alternative methods. For example, the consumer can enter his/her telephone number into a special web page that contains the caller ID of the consumer along with the current session ID. This information can be stored into a special lookup table that can be used by the agent to lookup the current session ID.” (emphasis added). In other words, the agent can be informed of the current session ID by the previously recited alternative

method instead of by telephone, which demonstrates that Sheldon, col. 12, lines 28-39 does not disclose the preceding feature of claim 47.

In third response, Appellant asserts that Sheldon, col. 12, lines 28-39 does not disclose that the WTS server 144 receives the identifier from the user browser 114N as required by the limitation of “said server receiving the identifier from the user browser”. Appellant notes that Sheldon, col. 12, lines 28-39 does not mention the WTS server 144 (or any other server) and does not mention the user browser 114N (or any other browser).

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As an eleventh example of why claim 47 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: “after said server receiving the identifier from the user browser, said server identifying the stored identifier in the repository from the received identifier and using the stored association relating to the identifier to identify the context information stored in the consultant context”.

The Examiner argues that Sheldon, col. 14, lines 20-25 discloses the preceding feature of claim 47.

In response, Appellant notes that the preceding feature of claim 47 requires identifying the context information stored in the consultant context, which Sheldon, col. 14, lines 20-25 does not disclose. The only identification disclosed in Sheldon, col. 14, lines 20-25 is an identification of the session ID which is not context information stored in the consultant context. In fact, the Examiner has argued that the context information is web pages.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a twelfth example of why claim 47 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “after said server using the stored association, said server storing the identified context information in the user context, wherein the server is configured to transmit the context information in the user context to the user browser for enabling the user to access, via the user browser, the desired information”.

The Examiner argues that Sheldon, col. 14, lines 23-42 and col. 13, lines 26-37 disclose the preceding feature of claim 47.

In response, Appellant notes that the Examiner has assumed that the user browser is the web browser 114N. However, the web browser 114N is not even mentioned in Sheldon, col. 14, lines 23-42 and col. 13, lines 26-37.

In further response, Appellant notes that the Examiner has not specifically identified a user context in Sheldon, so that the Examiner’s analysis of Sheldon, col. 14, lines 23-42 and col. 13, lines 26-37 with respect to the user context is not persuasive.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

As a thirteen example of why claim 47 is not unpatentable over Sheldon in view of Gavrilesco, Appellant asserts that the Examiner’s stated reason as to why it is allegedly obvious to modify Sheldon by the alleged teaching of Gavrilesco is not persuasive.

The Examiner argues: “It would have been obvious to one of ordinary skill in the art, having the teachings of Sheldon and Gavrilesco before him at the time the invention was made, to modify the synchronization taught by Sheldon to include the customer service of Gavrilesco, in order to obtain web synchronization for use by a customer service representative to guide a user to information on a web page. One would have been motivated to make such a combination because implementing web browser synchronization for guiding a user to information is well known in the art, as described by Gavrilesco (*Paragraph 0003*).”

In response, Appellant asserts that the cobrowsing described in Gavrilesco, Par. [0003] does not exit in Sheldon. The web browser synchronization in Sheldon is totally unrelated to the cobrowsing described in Gavrilesco, Par. [0003].

Appellant asserts that there is no description in Sheldon or Gavrilesco of how to enable inclusion of cobrowsing into Sheldon. Therefore, lack of a teaching of enablement precludes the possibility of it being obvious to incorporate cobrowsing into Sheldon.

Furthermore, the Examiner’s stated motivation (“guiding a user to information”) for incorporating cobrowsing into Sheldon is not persuasive because Sheldon already discloses guiding a user to information.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 47.

Based on the preceding arguments, Appellant respectfully maintains that claim 47 is not unpatentable over Sheldon in view of Gavrilesco, and that claim 47 is in condition for allowance.

#### Claim 48

The Examiner argues: “In regard to claim 48, Sheldon clearly discloses that all the method steps shown in claim 47 are implemented in a server (*Fig 1 element 134*). Therefore the rejections articulated *supra* as to why the combination of Sheldon and Gavrilesco teach the claimed subject matter of claim 47 apply to claim 48.”

In response, Applicants will apply the Examiner’s arguments for claim 47 to claim 48.

The Examiner’s arguments in analyzing Sheldon with respect to claim 48 are based on the following assumptions:

the claimed first user 22 in Appellant’s FIG. 1 is represented in Sheldon by the agent at terminal 104N in Sheldon, FIG. 2;

the claimed user browser 20 in Appellant’s FIG. 1 is represented in Sheldon by the web browser 114N at terminal 114N in Sheldon, FIG. 2;

the claimed second user 32 in Appellant’s FIG. 1 is represented in Sheldon by the consumer at terminal 104A in Sheldon, FIG. 2;

the claimed consultant browser 30 in Appellants’ FIG. 1 is represented in Sheldon by the web browser 114A at terminal 104A in Sheldon, FIG. 2;

the claimed server 10 in Appellant’s FIG. 1 is represented in Sheldon by the WTS server 144 in Sheldon, FIG. 2.

Appellant next analyzes claim 48 using the same assumptions *supra* that the Examiner has used.

Appellant respectfully contends that claim 48 is not unpatentable over Sheldon in view of Gavrilesco, because Sheldon in view of Gavrilesco does not teach or suggest each and every feature of claim 48.

As a first example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesu, Sheldon in view of Gavrilesu does not teach or suggest the feature: “a server creating a user context that reflects a context of a session between a user browser and the server”.

The Examiner argues that Sheldon, col. 2, lines 25-30 discloses the preceding feature of claim 48.

In response, Appellant respectfully contends that Sheldon, col. 2, lines 25-30 discloses steps of “retrieving pages”, “performing activities to said pages received”, and “recording said activities”, none of which disclose “creating a user context” or creating anything at all. Moreover, claim 48 also requires performance of “said server storing the identified context information in the user context” which requires the user context to be capable of storing information.

Appellant asserts that the Examiner has not specifically identified (in Sheldon, col. 2, lines 25-30) the user context that is allegedly created by the server and is capable of storing information.

Furthermore, Sheldon, col. 2, lines 25-30 does not disclose that the server that allegedly creates the user context is the WTS server 144 which is assumed by the Examiner to represent in Sheldon the claimed server.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a second example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesu, Sheldon in view of Gavrilesu does not teach or suggest the feature: “said server

creating a consultant context that reflects a context of a session between a consultant browser and the server”.

The Examiner argues that Sheldon, col. 2, lines 25-30 discloses the preceding feature of claim 48.

In response, Appellant respectfully contends that Sheldon, col. 2, lines 25-30 discloses steps of “retrieving pages”, “performing activities to said pages received”, and “recording said activities”, none of which disclose “creating a consultant context” or creating anything at all. Moreover, claim 48 also requires performance of “said server ... storing the received context information in the consultant context” which requires the consultant context to be capable of storing information.

Appellant asserts that the Examiner has not specifically identified (in Sheldon, col. 2, lines 25-30) the consultant context that is allegedly created by the server and is capable of storing information.

Furthermore, Sheldon, col. 2, lines 25-30 does not disclose that the server that allegedly creates the consultant context is the WTS server 144 which is assumed by the Examiner to represent in Sheldon the claimed server.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a third example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “a first user of the user browser identified, to a second user of the consultant browser via telephone or email from the first user to the second user, information that the first user desires to obtain”.

The Examiner argues: “While Sheldon teaches synchronizing browsers, they fail to show the identifying to a second user via telephone or email information that the first user is unable to locate and desires to obtain as recited in the claims. Gavrilesco teaches a method similar to that of Sheldon. In addition, Gavrilesco further teaches using web browser synchronization to aid a customer service representative in leading a customer to locations on a web page (*Paragraph 0003: as one skilled in the art knows, customer service representatives are regularly contacted via telephone or email*).”

In response, Appellant notes that Gavrilesco, Par. [0003] discloses cobrowsing between a user and a customer representative. However, Gavrilesco, Par. [0003] does not anywhere disclose that the user identifies to the customer representative information that the user is unable to find, or that the customer representative identifies to the user information that the customer representative is unable to find.

Gavrilesco, Par. [0003] discloses a first example (“The representative may lead the cobrowsing session, showing the customer where certain products are described on the web site.”), which does not disclose that the user identifies to the customer representative information that the user is unable to find, or that the customer representative identifies to the user information that the customer representative is unable to find.

Gavrilesco, Par. [0003] discloses a second example (“the customer may lead the cobrowsing session, going to various pages on the web site, and asking the representative questions about the products displayed on those pages”), which does not disclose that the user identifies to the customer representative information that the user is unable to find, or that the customer representative identifies to the user information that the customer representative is unable to find.



Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a fourth example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “the second user navigating to the desired information using the consultant browser”.

The Examiner has not alleged that Sheldon in view of Gavrilesco discloses the preceding feature of claim 48.

In fact, the Examiner has not even addressed the preceding feature of claim 48.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a fifth example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “said server storing context information in the consultant context after the context information was received by the server from the consultant browser ... after the second user navigated to the desired information using the consultant browser”.

The Examiner argues: “Sheldon discloses ... responsive to the second user navigating to the desired information, said consultant browser transmitting to the server context information identifying an access to the desired information; said server receiving the transmitted context information and storing the received context information in the consultant context (*Column 5 lines 52-67, Column 7 lines 22-28, Column 7 lines 33-35, and Column 7 line 58- Column 8 line 23: a session is created for browser 114A. A session included tracking and recording of*

*activities of the browser where the activities include loading, interacting, and unloading of web pages. This directly relates to context information)".*

In response, Appellant notes that the Examiner views "context information" as web pages in Sheldon.

However, the Examiner's citations (col. 5, lines 52-67; col. 7 lines 22-28; col. 7 lines 33-35; col. 7, line 58 - col. 8 line 23) do not disclose that the WTS server 144 receives "context information identifying an access to the desired information" from the consultant browser 114N and stores the received context information in the consultant context created by the WTS server 144, as required by the preceding feature of claim 48.

Furthermore, the Examiner's citations do not disclose that the transmitting of the context information is "after the second user navigated to the desired information using the consultant browser", as required by the preceding feature of claim 48.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a sixth example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: "said server receiving from the consultant browser a request for an identifier pertaining to the context information; said server generating the identifier in response to the received request, said identifier not being a Universal Resource Locator (URL)".

The Examiner argues: "Sheldon discloses ... said server receiving from the consultant browser a request for an identifier pertaining to the context information; said server generating the identifier in response to the received request, said identifier not being a Universal Resource

Locator (URL) (Fig 2, Fig 3, and Column 6 line 62- Column 7 line 33: the request for the identifier from the second (consultant) browser is performed when the browser has been directed to the specific URL for web page 204 in server 52. The second browser is executing in terminal 104A)".

In response, Appellant notes that the Examiner views "request for an identifier" as directing the server 152 to the specific URL for web page 204. Therefore, the Examiner is arguing that the identifier is the URL for web page 204. The Examiner also views "context information" as web pages as explained *supra* in conjunction with the fifth example. Therefore, the Examiner is arguing that the limitation "said server receiving from the consultant browser a request for an identifier pertaining to the context information" is disclosed in Sheldon as the WTS server 152 receiving (from the web browser 114A) a request for an identifier pertaining to the web page 204, wherein the identifier is the URL for web page 204, which violates the claimed limitation of "said identifier not being a Universal Resource Locator (URL)".

In addition, the Examiner's citation of server 152 (actually, the Examiner cited server "52" which appears to be a typo), is in conflict with the Examiner's assumption that the WTS server 144 represents the claimed server in claim 48.

Furthermore, Sheldon does not disclose "said server generating the identifier in response to the received request". In other words, Sheldon does not disclose that the WTS server 144 generates the URL of web page 204 in response to directing the server 152 to the specific URL for web page 204, wherein the URL is alleged by the Examiner to represent the received request.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a seventh example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “after said generating the identifier, said server generating an association relating to the identifier, said association associating the identifier with the context information by comprising a pointer to the context information”.

The Examiner argues that Sheldon, col. 7, lines 34-35 discloses the preceding feature of claim 48.

In response, Appellant respectfully contends that the preceding feature of claim 48, which requires that Sheldon disclose that after the URL for web page 204 is generated, the WTS server 144 generates an association relating to the URL for web page 204, said association associating the URL for web page 204 with the context information by comprising a pointer to the context information. However, Sheldon, col. 7, lines 33-35 recites: “In response to the command from Master Applet 126, WTS server 144 creates a session for browser 114A based on the unique ID”. Appellant asserts that Sheldon, col. 7, lines 33-38 discloses that the WTS server 144 creates a session for browser 114A based on the unique ID but does not generate an association that associates the URL for web page 204 with the context information (i.e., the web page 204).

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As an eighth example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “after said

generating the association, said server storing the identifier and the association in a repository coupled to the server and providing the identifier to the consultant browser”.

The Examiner argues: “Sheldon discloses ... after said generating the association, said server storing the identifier and the association in a repository coupled to the server and providing the identifier to the consultant browser (*Column 6 lines 59-61: database stores information for created sessions*)”.

In response, Appellant reiterates that the Examiner has argued that the claimed identifier is the URL for web page 204. However, Sheldon, col. 6, lines 59-61 does not disclose that the WTS server 144 stores in the database 156 the URL for web page 204 and the association. Sheldon, col. 6, lines 59-61 discloses only that the application 148 stores the data collected in session table 145 into database 156, and does not disclose that the data collected in session table 145 includes the URL for web page 204 and the association.

Moreover, claim 48 requires that the WTS server 144, and not the application 148, perform storing the URL for web page 204 and the association in the database 156.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a ninth example of why claim 48 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature: “after said server providing the identifier to the consultant browser, ... the consultant browser provided the identifier to the second user”.

The Examiner argues: “Sheldon discloses ... after said server providing the identifier to the consultant browser, said consultant browser providing the identifier to the second user (Column 12 lines 19-23: *the web page displays the current session ID*)”.

In response, Appellant has noted (in conjunction with the sixth example) that the Examiner alleges that the identifier in Sheldon is the URL for web page 204, which logically contradicts the Examiner’s current argument that the identifier in Sheldon is the current session ID.

Furthermore, the preceding feature of claim 48 requires that the consultant browser 114A provides the identifier to the second user. However, the consultant browser 114A is not even mentioned in Sheldon, col. 12, lines 19-23.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a tenth example of why claim 48 is not unpatentable over Sheldon in view of Gavrilescu, Sheldon in view of Gavrilescu does not teach or suggest the feature:

“after said server providing the identifier to the consultant browser, said server receiving the identifier from the user browser after the consultant browser provided the identifier to the second user and after the second user provided the identifier to the first user via telephone or email from the second user to the first user and after the first user provided the identifier to the user browser, wherein said receiving the identifier from the user browser comprises retrieving the identifier from a data entry field of a web page after the user browser has entered the identifier into the data entry field”.

The Examiner argues that Sheldon, col. 12, lines 28-39 discloses the preceding feature of claim 48.

In first response, Appellant notes that the identifier in Sheldon, col. 12, lines 28-39 is the current session ID, which contradicts the Examiner's argument that the identifier is the URL for web page 204.

In second response, Appellant notes that the Examiner is assuming that the first user is the agent at terminal 104N and the second user is the consumer at terminal 104A. Therefore, the preceding feature of claim 46 requires the consumer to provide the identifier (inconsistently alleged by the Examiner to be the current session ID) to the agent via telephone or email. However, Sheldon, col. 12, lines 28-39 recites that "*instead of using the telephone*, the agent can be informed of the current session ID by alternative methods. For example, the consumer can enter his/her telephone number into a special web page that contains the caller ID of the consumer along with the current session ID. This information can be stored into a special lookup table that can be used by the agent to lookup the current session ID." (emphasis added). In other words, the agent can be informed of the current session ID by the previously recited alternative method instead of by telephone, which demonstrates that Sheldon, col. 12, lines 28-39 does not disclose the preceding feature of claim 48.

In third response, Appellant asserts that Sheldon, col. 12, lines 28-39 does not disclose that the WTS server 144 receives the identifier from the user browser 114N as required by the limitation of "said server receiving the identifier from the user browser". Appellant notes that Sheldon, col. 12, lines 28-39 does not mention the WTS server 144 (or any other server) and does not mention the user browser 114N (or any other browser).

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As an eleventh example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “after said server receiving the identifier from the user browser, said server identifying the stored identifier in the repository from the received identifier and using the stored association relating to the identifier to identify the context information stored in the consultant context”.

The Examiner argues that Sheldon, col. 14, lines 20-25 discloses the preceding feature of claim 48.

In response, Appellant notes that the preceding feature of claim 48 requires identifying the context information stored in the consultant context, which Sheldon, col. 14, lines 20-25 does not disclose. The only identification disclosed in Sheldon, col. 14, lines 20-25 is an identification of the session ID which is not context information stored in the consultant context. In fact, the Examiner has argued that the context information is web pages.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a twelfth example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Sheldon in view of Gavrilesco does not teach or suggest the feature: “after said server using the stored association, said server storing the identified context information in the user context, wherein the server is configured to transmit the context information in the user



context to the user browser for enabling the user to access, via the user browser, the desired information”.

The Examiner argues that Sheldon, col. 14, lines 23-42 and col. 13, lines 26-37 disclose the preceding feature of claim 48.

In response, Appellant notes that the Examiner has assumed that the user browser is the web browser 114N. However, the web browser 114N is not even mentioned in Sheldon, col. 14, lines 23-42 and col. 13, lines 26-37.

In further response, Appellant notes that the Examiner has not specifically identified a user context in Sheldon, so that the Examiner’s analysis of Sheldon, col. 14, lines 23-42 and col. 13, lines 26-37 with respect to the user context is not persuasive.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

As a thirteen example of why claim 48 is not unpatentable over Sheldon in view of Gavrilesco, Appellant asserts that the Examiner’s stated reason as to why it is allegedly obvious to modify Sheldon by the alleged teaching of Gavrilesco is not persuasive.

The Examiner argues: “It would have been obvious to one of ordinary skill in the art, having the teachings of Sheldon and Gavrilesco before him at the time the invention was made, to modify the synchronization taught by Sheldon to include the customer service of Gavrilesco, in order to obtain web synchronization for use by a customer service representative to guide a user to information on a web page. One would have been motivated to make such a combination because implementing web browser synchronization for guiding a user to information is well known in the art, as described by Gavrilesco (*Paragraph 0003*).”

In response, Appellant asserts that the cobrowsing described in Gavrilesco, Par. [0003] does not exist in Sheldon. The web browser synchronization in Sheldon is totally unrelated to the cobrowsing described in Gavrilesco, Par. [0003].

Appellant asserts that there is no description in Sheldon or Gavrilesco of how to enable inclusion of cobrowsing into Sheldon. Therefore, lack of a teaching of enablement precludes the possibility of it being obvious to incorporate cobrowsing into Sheldon.

Furthermore, the Examiner's stated motivation ("guiding a user to information") for incorporating cobrowsing into Sheldon is not persuasive because Sheldon already discloses guiding a user to information.

Therefore, the Examiner has not established a *prima facie* case of obviousness in relation to claim 48.

Based on the preceding arguments, Appellant respectfully maintains that claim 48 is not unpatentable over Sheldon in view of Gavrilesco, and that claim 48 is in condition for allowance.

## SUMMARY

In summary, Appellants respectfully requests reversal of the August 1, 2008 Office Action rejection of claims 47 and 48.

Date: 12/04/2008

Jack P. Friedman  
Jack P. Friedman  
Registration No.: 44,688

Schmeiser, Olsen & Watts  
22 Century Hill Drive – Suite 302  
Latham, New York 12110  
(518) 220-1850 Telephone  
(518) 229-1857 Facsimile  
E-mail: [jfriedman@iplawusa.com](mailto:jfriedman@iplawusa.com)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Richard A. Chapman

Group Art Unit: 2173 / Conf. No. 2422

Application No.: 10/751,616

Examiner: Ulrich, Nicholas S.

Filing Date: 01/05/2004

Docket No.: END920030134US1

Title: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
ASSISTED BROWSER NAVIGATION**

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPENDIX A - CLAIMS ON APPEAL**

47. A method of assisted browser navigation, said method comprising:

a server creating a user context that reflects a context of a session between a user browser and the server;

said server creating a consultant context that reflects a context of a session between a consultant browser and the server;

a first user of the user browser identifying, to a second user of the consultant browser by communication via telephone or email from the first user to the second user, information that the first user is unable to locate and desires to obtain;

responsive to the first user identifying the desired information to the second user, said second user navigating to the desired information using the consultant browser;

responsive to the second user navigating to the desired information, said consultant browser transmitting to the server context information identifying an access to the desired information;

said server receiving the transmitted context information and storing the received context information in the consultant context;

said server receiving from the consultant browser a request for an identifier pertaining to the context information;

said server generating the identifier in response to the received request, said identifier not being a Universal Resource Locator (URL);

after said generating the identifier, said server generating an association relating to the identifier, said association associating the identifier with the context information by comprising a pointer to the context information;

after said generating the association, said server storing the identifier and the association in a repository coupled to the server and providing the identifier to the consultant browser;

after said server providing the identifier to the consultant browser, said consultant browser providing the identifier to the second user;

after said consultant browser providing the identifier to the second user, said second user providing the identifier to the first user via telephone or email from the second user to the first user;

after said second user providing the identifier to the first user, said user browser receiving the identifier from the first user;

after said user browser receiving the identifier from the first user, said server receiving the identifier from the user browser, wherein said receiving the identifier from the user browser comprises retrieving the identifier from a data entry field of a web page after the user browser has entered the identifier into the data entry field;

after said server receiving the identifier from the user browser, said server identifying the stored identifier in the repository from the received identifier and using the stored association relating to the identifier to identify the context information stored in the consultant context;

after said server using the stored association, said server storing the identified context information in the user context, wherein the server is configured to transmit the context information in the user context to the user browser for enabling the user to access, via the user browser, the desired information.

48. A method of assisted browser navigation, said method comprising:

a server creating a user context that reflects a context of a session between a user browser and the server;

said server creating a consultant context that reflects a context of a session between a consultant browser and the server;

said server storing context information in the consultant context after the context information was received by the server from the consultant browser after a first user of the user browser identified, to a second user of the consultant browser via telephone or email from the first user to the second user, information that the first user desires to obtain and after the first user has requested that the second user assist the first user in obtaining access to the desired information and after the second user navigated to the desired information using the consultant browser;

said server receiving from the consultant browser a request for an identifier pertaining to the context information;

said server generating the identifier in response to the received request, said identifier not being a Universal Resource Locator (URL);

after said generating the identifier, said server generating an association relating to the identifier, said association associating the identifier with the context information by comprising a pointer to the context information;

after said generating the association, said server storing the identifier and the association in a repository coupled to the server and providing the identifier to the consultant browser;

after said server providing the identifier to the consultant browser, said server receiving the identifier from the user browser after the consultant browser provided the identifier to the second user and after the second user provided the identifier to the first user via telephone or email from the second user to the first user and after the first user provided the identifier to the user browser, wherein said receiving the identifier from the user browser comprises retrieving the identifier from a data entry field of a web page after the user browser has entered the identifier into the data entry field;

after said server receiving the identifier from the user browser, said server identifying the stored identifier in the repository from the received identifier and using the stored association relating to the identifier to identify the context information stored in the consultant context;

after said server using the stored association, said server storing the identified context information in the user context, wherein the server is configured to transmit the context information in the user context to the user browser for enabling the user to access, via the user browser, the desired information context information in the user context are performed by the assistant navigation circuit/module.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Richard A. Chapman

Group Art Unit: 2173 / Conf. No. 2422

Application No.: 10/751,616

Examiner: Ulrich, Nicholas S.

Filing Date: 01/05/2004

Docket No.: END920030134US1

Title: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
ASSISTED BROWSER NAVIGATION**

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPENDIX B - EVIDENCE**

There is no evidence entered by the Examiner and relied upon by Appellants in this appeal.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Richard A. Chapman

Group Art Unit: 2173 / Conf. No. 2422

Application No.: 10/751,616

Examiner: Ulrich, Nicholas S.

Filing Date: 01/05/2004

Docket No.: END920030134US1

Title: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
ASSISTED BROWSER NAVIGATION**

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPENDIX C - RELATED PROCEEDINGS**

There are no proceedings identified in the "Related Appeals and Interferences" section.